Thank you for participating in AES Indiana's Business Rebates & Incentives Program. Refer to the information below to ensure you are eligible for program rebates and your application is complete. Please retain a copy of your completed application for your records. To access all AES Indiana applications, please visit aesindiana.com/businessavings.

What you will need:

1. Your AES Indiana account number and AES Indiana Service ID

- 2. An itemized invoice
- 3. Equipment specification sheets
- 4. Installing contractor information (if applicable)
- 5. Payee's IRS form W-9

Need Help?

For assistance completing this form, call 888-982-7071.

How to Apply for a Rebate

Step 1: Determine Eligibility

Applicant

The applicant must be an active AES Indiana business customer with a qualifying Rate Code at the time of equipment installation. Qualifying rate codes include SS, SE, SH, SL, PL, PH or HL.

Applicant and Installation

The application must be completed in full and signed prior to submittal. Applications must be received within 90 calendar days of project installation or by December 31, 2023, whichever comes first.

Please note, rebates not to exceed 50 percent of the total material and external labor cost and are limited to a maximum cap of \$250,000 per project. Total combined Custom and Prescriptive incentives are capped at \$1,000,000 per customer per calendar year.

Equipment and Installation

New equipment must be more efficient than existing equipment (e.g., the new equipment must save energy). Excludes New Construction projects. Equipment must be installed and operational by December 31, 2023. Step 2: Complete Application & Attach Supplemental Documentation

Complete Application

Unless noted otherwise, all fields must be completed on the application.

Sign Application

The application must be signed in the space provided in Section 6: Terms and Conditions.

Attach Supplemental Documentation

Ensure you have attached a copy of all documentation to the rebate form, including an itemized invoice and manufacturer specification sheets.

The invoice should include:

- The equipment size, type, make, model and/or part number
- The date of installation
- An itemized breakout of materials (including per unit costs by model number), labor and sales tax
- Rebate amount credited on invoice, if Trade Ally or Third-Party Rebate Administrator is assigned to receive the rebate. Please note, the customer's signature is required in Section 4 to assign the rebate to either.

Program applications and required documents may be submitted using one of the three methods below.

Online Rebate Portal

Skip the paper application. Submit and track your rebate online at aesindiana.com/businesssavings.

U.S. Mail

AES Indiana Business Rebates & Incentives Program 16350 Felton Rd. Lansing, MI 48906

Email

prescriptive@aesindianarebates.com

Step 3: Submit Paperwork

Double-Check Information

Make sure information listed on the application is correct and you have provided an itemized invoice and specification sheets.

Installed equipment must have a current ENERGY STAR[®] certified qualification or be listed on the DesignLights Consortium[®] (DLC) Solid State Lighting Qualified Product List to be eligible. Re-branded equipment of ENERGY STAR certified or DLC listed models are not eligible.

Installed equipment must match model number on invoice and manufacturer specification sheet.

Submit Application

Submit your completed application and supplemental documentation to one of the methods posted above. Once completed paperwork is submitted, rebate payments are generally made within 6–8 weeks.

Note: If you choose to email your application, be sure to include all documents as email attachments.

aes Indiana

Section 1: Customer Information										
AES Indiana Account Holder Name		Contact Name:								
AES Indiana Account Number (as s	hown on utility bill):	AES Indiana Customer installed) (REQUIRED)		Number (whe	ere equipment is					
Phone:		Email:								
Installation Street Address:		City:	State:		ZIP Code:					
How did you hear about this progra □ News Story □ Other:	m? ☐ Contractor/Trade Ally ☐ Ma	ail/Bill Insert 🗖 Utility V	Vebsite 🗆 C	Community E	vent					
Section 2: Building Type – Required	d (check one)									
 Auto Repair College/University Food Sales/Grocery Fast Food Restaurant Full-Service Restaurant Healthcare 	 Office (Medium/Lar Office (Small) Public Assembly Public Order/Safety Religious/Worship 									
Section 3: Trade Ally Information										
Check Here for Self-Installation										
Business Name:		Contact Name:								
Phone:		Email:								
Street Address:		City:	State: ZIP Code:							
Section 4: Rebate Payment Informa	ation (complete only if payee is not t	he AES Indiana account	holder listed	d above)						
Make checks payable to: □ Custome	er 🛛 Trade Ally (Customer signature re	quired) 🗆 Third-Party Re	bate Adminis	strator (Custo	mer signature required)					
I hereby authorize payment of incer through to our company via a reduction	ntive directly to the Trade Ally or Thi in the amount invoiced for the work.	rd-Party Rebate Admini	strator and ag	gree these reb	ates have been passed					
Customer Signature:		Date:								
Payee Legal Name (as shown on IR	IS form W-9):	Payee Business Name	(if different t	than payee le	egal name):					
Payee Legal Address:		City:	S	state:	ZIP Code:					
Payee Contact Name:		Payee Contact Phone:	P	Payee Contac	t Email:					
Mail check to: D Payee Legal Add	ress 🗆 Job Site Address 🗆 Altern	ate Address (complete I	below):							
Alternate Pay Address (optional):		City:	S	itate:	ZIP Code:					
Payee Federal Tax Classification (c	heck ONE only): Sole Proprietor/Individual 🛛 LLC I	□ Other tax exempt org	anization or	gov't agenc	у					
Payee Taxpayer Identification Num (Complete ONE only. Must match p										
FEIN #: –	or SSN:	·	36	2S	Indiana					

Section 5: Equipment Information

General Requirements:

- The specifications for the eligible equipment are listed within each lighting technology on this form. If the technology you are installing is specified differently than how it is listed, please call 888-982-7071 to verify eligibility. Custom incentives are available for many technologies not listed, however, pre-approval is required for all Custom projects.
- Replacements are one-for-one unless specified. Replaced equipment must be removed from service and properly disposed of or recycled (not resold or dumped illegally).
- Equipment must be purchased new to qualify. Resale equipment that is leased, rebuilt, rented, received from insurance claims, or received from warranty is not eligible.
- · Rebates are for fixtures installed indoors with the exception of lights installed in parking garages and exterior LEDs as noted on this form
- Please check the box on the equipment line item if the lights were installed in an exterior application
- All equipment must operate a minimum of 1,800 hours per year to be eligible for rebates
- Post-installation light levels are expected to meet current IESNA recommendations and comply with all applicable electrical, safety and energy codes
- Fixtures must be UL listed

A. Incandescent/CFL Replacements

- LED downlights and track lighting must have a current ENERGY STAR® certified qualification, LED pin-based lamps must be listed on the DesignLights Consortium Solid State Lighting Qualified Products List to qualify.
- New fixture/lamp wattage cannot exceed existing fixture/lamp wattage
- Eligible for retrofit
- Not eligible for new construction

Equipment Type	Install Date (mm/dd/yy)	Installed on Exterior	Make/Model	Installed Wattage	Qty.	Incentive (per lamp)	Total Incentive (Qty. x Incentive)
LED downlighting ≤ 15W replacing incandescent, must contain "downlight"				W		\$7.50 (per fixture)	\$
LED pin-based lamps replacing ≤ 60W CFL				W		\$1.25	\$
LED track lighting ≤ 15W □ Replacing CFL track lighting □ Replacing incandescent track lighting				W		\$6.25	\$

Total for Section A: \$



Section 5: Equipment Information, continued

B. High Bay/Low Bay LED Lighting

- · Existing equipment wattage categories are based on lamp wattage, not total fixture wattage
- New fixture wattage cannot exceed existing fixture wattage
- LED installations must be listed as high bay/low bay luminaire on the DesignLights Consortium Solid State Lighting Qualified
 Products List to qualify
- Eligible for retrofit
- Not eligible for new construction
- · High Bay/Low Bay LED replacing HID are eligible for new construction

		uo					
Lamp Length/Installed Equipment	Install Date (mm/dd/yy)	Installed o Exterior	Make/Model	Installed Wattage	Qty.	Incentive (per fixture)	Total Incentive (Qty. x Incentive)
Low Bay LED replacing ≤ 175W HID				w		\$168.75	\$
Low Bay LED replacing 176W–250W HID				w		\$125.00	\$
High Bay LED replacing 251W–400W HID				w		\$187.50	\$
High Bay LED replacing > 400W HID				W		\$250.00	\$
Low Bay LED Retrofit Kit or Mogul Screw-Base Lamps replacing ≤ 175W HID				w		\$50.00	\$
Low Bay LED Retrofit Kit or Mogul Screw-Base Lamps replacing 176W–250W HID				w		\$75.00	\$
High Bay LED Retrofit Kit or Mogul Screw-Base Lamps replacing 251W–400W HID				w		\$100.00	\$
High Bay LED Retrofit Kit or Mogul Screw-Base Lamps replacing > 400W HID				w		\$100.00	\$
Low Bay LED replacing 2 lamp 4' T5HO				w		\$37.50	\$
Low Bay LED replacing 3 lamp 4' T5HO				w		\$62.50	\$
High Bay LED replacing 4 lamp 4' T5HO				w		\$75.00	\$
High Bay LED replacing 6–8 lamp 4' T5HO				w		\$100.00	\$
Low Bay LED replacing 4 lamp 4' T8				w		\$37.50	\$
Low Bay LED replacing 6 lamp 4' T8				w		\$62.50	\$
High Bay LED replacing 8 lamp 4' T8				W		\$75.00	\$

Total for Section B: \$



Section 5: Equipment Information, continued

C. High Bay/Low Bay Fluorescent Lighting

· Existing equipment wattage categories are based on lamp wattage, not total fixture wattage

• Fluorescent high bay fixtures must be marketed as either high bay fixtures or as F-bay fixtures and typically include a high ballast factor ballast, reflector and 4,100–6,500K lamp

- Eligible for retrofit
- Not eligible for new construction

Lamp Length/Installed Equipment	Install Date (mm/dd/yy)	Installed on Exterior	Make/Model	Installed Wattage	Qty.	Incentive (per fixture)	Total Incentive (Qty. x Incentive)
4' 2 lamp T5HO replacing 150W–175W HID				W		\$43.75	\$
4' 3 lamp T5HO replacing 250W–400W HID				W		\$62.50	\$
4' 4 lamp T5HO replacing ≥ 400W HID				W		\$118.75	\$
4' 6 lamp T5HO replacing ≥ 400W HID				W		\$81.25	\$
4' 8 lamp T5HO replacing 750W–999W HID				W		\$93.75	\$
4' 10 lamp T5HO (2–5 lamp fixtures) replacing 1,000W HID				W		\$262.50	\$
4' 12 lamp T5HO (2–6 lamp fixtures) replacing 1,000W HID				W		\$218.75	\$

Total for Section C: \$



Section 5: Equipment Information, continued

D. LEDs and Miscellaneous Lighting Measures

- Wattage categories listed are based on lamp wattage, not total fixture wattage
- Eligible for retrofit
- Eligible for new construction
- LED case lighting system must be permanently installed luminaire and listed on the DesignLights Consortium Solid State Lighting Qualified Products List to qualify
- LED exit signs must replace existing signs and meet State Fire Marshall code and be UL rated

Equipment Type	Install Date (mm/dd/yy)	Make/Model	Installed Wattage	Qty.	Incentive (per fixture)	Total Incentive (Qty. x Incentive)
LED Exit Sign ≤ 5W □ Replacing fluorescent exit signs □ Replacing incandescent exit signs			N/A		\$12.50	\$

Equipment Type	Install Date (mm/dd/yy)	Make/Model	Freezer or Cooler	Bulb Length	Number of Lights	Number of Doors	Incentive (per door)	Total Incentive (Door x Incentive)
LED Lighting Reach-In, Freezer or Cooler Case			□ Freezer □ Cooler	□ 5' □ 6'			\$37.50	\$
LED Case Lighting Sensor Controls Installed with LED lighting systems end of aisle and individual case sensors only			□ Freezer □ Cooler	□ 5' □ 6'			\$5.63	\$
Total for Section D:							\$	

E. 8' LED Retrofits

- Must replace 8' fluorescent lamps
- · LED installations must be listed on the DesignLights Consortium Solid State Lighting Qualified Products List to qualify
- · Existing baseline wattage is based on total fixture wattage including ballast
- Eligible for retrofit
- Not eligible for new construction

Install Date (mm/dd/yy)	Make/Model of Installed LED	Installed Wattage (per unit)	Installed Quantity	Baseline Wattage (per unit)	Baseline Quantity	Incentive (per watt reduced)	Total Incentive ([baseline wattage x baseline quantity] – [installed wattage x installed quantity]) x incentive
						\$0.38	\$



Section 5: Equipment Information, continued

F. LEDs

- · New fixture wattage cannot exceed existing fixture wattage
- · LED lighting systems must be listed on the DesignLights Consortium Solid State Lighting Qualified Products List to qualify
- Eligible for retrofit
- Eligible for new construction LED troffers only
- U-lamps are eligible for 4' LED lamp replacement

LED Lamp Equipment Type	Install Date	Installed on	Malio (Madal	Installed	Otre		Total Incentive
LED Lamp replacing 4' lamp 🗆 T8 🗆 T12 🗆 T5 🗆 T5HO	(mm/dd/yy)	Exterior	Make/Model	Wattage W	Qty.	(per lamp) \$2.50	(Qty. x Incentive) \$
LED Lamp replacing 2' lamp 🗆 T8 🗆 T12 🗆 T5				w		\$2.50	\$
LED Retrofit							Tatal
Equipment Type	Install Date (mm/dd/yy)	Installed on Exterior	Make/Model	Installed Wattage	Qty.	Incentive (per fixture)	Total Incentive (Qty. x Incentive)
LED 1x4 Retrofit Kit replacing 1 lamp 4' 🗆 T8 🗆 T12				w		\$12.50	\$
LED 1x4 Retrofit Kit replacing 2 lamps 4' 🗆 T8 🗆 T12				w		\$12.50	\$
LED 2x4 Retrofit Kit replacing 3 lamps 4' 🗆 T8 🗆 T12				w		\$37.50	\$
LED 2x4 Retrofit Kit replacing 4 lamps 4'				w		\$37.50	\$
LED 2x2 Retrofit Kit replacing U-lamps				w		\$25.00	\$
LED Troffer							
Equipment Type	Install Date (mm/dd/yy)	Installed on Exterior	Make/Model	Installed Wattage	Qty.	Incentive (per fixture)	Total Incentive (Qty. x Incentive)
LED 1x4 Troffer replacing 1 lamp 4' T8 T12				w		\$12.50	\$
LED 1x4 Troffer replacing 2 lamps 4' 🗆 T8 🗆 T12				w		\$12.50	\$
LED 2x4 Troffer replacing 3 lamps 4' 🗆 T8 🗆 T12				w		\$50.00	\$
LED 2x4 Troffer replacing 4 lamps 4' 🗆 T8 🗆 T12				w		\$50.00	\$
LED 2x2 Troffer replacing U-lamps 🗆 T8 🗆 T12 replacing 2' linear 🗆 T8 🗆 T12				w		\$25.00	\$

Total for Section F: \$



Section 5: Equipment Information, continued

G. LED Lighting in Garages

- LED fixture must be listed as a parking garage luminaire on the DesignLights Consortium Solid State Lighting Qualified Products List to qualify
- Wattage categories listed are based on lamp wattage, not total fixture wattage
- New fixture wattage cannot exceed existing fixture wattage
- Eligible for retrofit
- LED Parking Garage replacing HID eligible for new construction
- · LED Parking Garage replacing T8 or T5HO not eligible for new construction

Equipment Type	Install Date (mm/dd/yy)	Make/Model	Installed Wattage	Qty.	Incentive (per fixture)	Total Incentive (Qty. x Incentive)
LED – Parking Garage replacing up to 75–100W HID			W		\$62.50	\$
LED – Parking Garage replacing up to 101–175W HID			w		\$100.00	\$
LED – Parking Garage replacing > 175W HID			w		\$125.00	\$
LED – Parking Garage replacing 2 lamp 4' T8			w		\$25.00	\$
LED – Parking Garage replacing 3 lamp 4' T8			w		\$37.50	\$
LED – Parking Garage replacing 4 lamp 4' T8			w		\$50.00	\$
LED – Parking Garage replacing 1 lamp 4' T5HO			W		\$25.00	\$
LED – Parking Garage replacing 2 lamp 4' T5HO			w		\$50.00	\$
LED – Parking Garage replacing 3 lamp 4' T5HO			w		\$62.50	\$
Total for Section G:						

H. Channel Signage

Channel Signage must replace existing neon, incandescent or argon-mercury lettering

Specification sheets indicating channel letter dimensions are required with the application

Installed LEDs must be greater than or equal to 85 lumens per watt

Equipment Type	Install Date (mm/dd/yy)	Make/Model	Qty.	Incentive (per letter)	Total Incentive (Qty. x Incentive)	
Channel Signage ≤ 2'				\$12.50	\$	
Channel Signage > 2'				\$25.00	\$	
Tatalfar Oastian II						

Total for Section H: \$



Section 5: Equipment Information, continued

I. LED Lighting on Exterior

- LED fixture must be listed as an outdoor luminaire on the DesignLights Consortium Solid State Lighting Qualified Products List to qualify
- Wattage categories listed are based on lamp wattage, not total fixture wattage
- New fixture wattage cannot exceed existing fixture wattage
- Exterior LED replacing HID are eligible for retrofit. Exterior LED replacing HID are eligible for new construction

• Exterior LED Retrofit Kits and Mogul Screw-Base lamps are eligible for retrofit. Exterior LED Retrofit Kits and Mogul Screw-Based lamps are not eligible for new construction

Equipment Type	Install Date (mm/dd/yy)	Make/Model	Installed Wattage	Qty.	Incentive (per fixture)	Total Incentive (Qty. x Incentive)
LED – Exterior replacing ≤ 175W HID			w		\$43.75	\$
LED – Exterior replacing 176W–250W HID			w		\$62.50	\$
LED – Exterior replacing 251W–400W HID			W		\$125.00	\$
LED – Exterior replacing > 400W HID			W		\$187.50	\$
LED – Exterior Retrofit Kits or Mogul Screw-Base Lamps replacing ≤ 175W HID			W		\$37.50	\$
LED – Exterior Retrofit Kits or Mogul Screw-Base Lamps replacing 176W–250W HID			w		\$62.50	\$
LED – Exterior Retrofit Kits or Mogul Screw-Base Lamps replacing 251W–400W HID			W		\$62.50	\$
LED – Exterior Retrofit Kits or Mogul Screw-Base Lamps replacing > 400W HID			w		\$62.50	\$

Total for Section I: \$



Section 5: Equipment Information, continued

J. Delamp

- Permanently remove T8 or T12 lamp and convert to T-LED lamp
- For 4' lamps only
- For UL type A T-LED replacements, removal must also include a ballast replacement such that the new ballast is compatible with the remaining lamps (for example, if removing 2-lamps from 4-lamp fixture, the new ballast must be a 2-lamp ballast)
- · For UL type B T-LED replacements existing ballast must be removed
- · Post lamp removal light levels must be at or above IESNA recommended levels
- Eligible for retrofit
- Not eligible for new construction
- Delamping measure can be combined with the LED lamp measure in Section F. For the example above, the retrofit would be eligible for 2 new lamps, and 2 delamping

Equipment Type	Removal Date (mm/dd/yy)	Qty.	Incentive (per lamp)	Total Incentive (Qty. x Incentive)
T12 Delamping (completely removed and disposed of properly)			\$1.25	\$
T8 Delamping (completely removed and disposed of properly)			\$1.25	\$
	\$			

K. Lighting Controls

- · Install wall, ceiling or fixture mounted ultrasonic or passive infrared sensors. Socket-based occupancy sensors are not eligible
- · Sensors may control more than one fixture (including High Bay Fluorescents)
- · Rapid or programmed start ballasts are recommended for fluorescent fixtures to extend lamp life
- Replacement or upgrade of existing lighting controls are not eligible
- Eligible for retrofit
- Eligible for new construction

Equipment Type	Install Date (mm/dd/yy)	Make/Model	Watts Controlled per Sensor	Qty.	Incentive (per Watt Controlled)	Total Incentive (Watts Controlled x Qty. x Incentive)
Occupancy Sensor			w		\$0.13	\$
Daylighting Controls (Interior Lighting)			W		\$0.19	\$
Daylighting Controls (Exterior Lighting)			W		\$0.13	\$
	1	1		1	1	

Total for Section K: \$



Section 5: Equipment Information, continued

L. Networked Lighting Controls

- · System must be on the DesignLights Consortium Networked Lighting Controls Qualified Products List version 5.0 or later
- Controlled fixtures must be LED, either retrofit in conjunction with the controls installation or installed within the past 5 years. Specification sheets and invoices for connected LED fixtures must be provided to verify the controlled wattage
- At a minimum, the system must be implementing the following strategies:
 - High End Trim: Initial system max light output set to 80 percent or less
 - Daylighting: Must be deployed where side and top lighting is available as defined in IECC 2015,
 - https://codes.iccsafe.org/public/document/IECC2015/chapter-4-ce-commercial-energy-efficiency
 - · Occupancy: Controls configured in occupancy or vacancy mode with timeout set to 20 minutes or less
- · Other system strategies may be implemented but are not required and may not be 'substituted' for the strategies listed above
- · Cannot be combined with rebate for Occupancy Sensor or Interior Daylighting Controls
- This rebate is not eligible for exterior systems (use the Daylighting measure above). Rebate is available on either a watt controlled basis or a per sq. ft. basis, but not both.
- If using square foot calculation, floor plans for controlled areas must be submitted when square footage is 100,000 or more

Commissioning agent signature is required

I certify the above networked lighting controls requirements listed above are met, the system has been commissioned, and working as designed.

Commissioning Agent Information (commissioning agent is the programmer of the NLC system)

Company Name:

Agent Name:

Agent Phone:

Agent Signature:

	1				
Equipment Type	Install Date (mm/dd/yy)	Make/Model	Qty.	Incentive	Total Incentive (Watts Controlled x Incentive)
Networked Lighting Control			w	\$0.75 per watt controlled	\$
Networked Lighting Control			Sq. Ft.	\$0.19 per sq. ft.	\$



Section 6: Terms and Conditions

Applicants who provide their Social Security Number as their Federal Tax Identification Number for tax purposes must consent to release this information to AES Indiana's third-party administrator. The third-party administrator is responsible for mailing the 1099-form at the end of the calendar year for tax filing. Authorized agents for AES Indiana and the third-party administrator have signed a confidentiality agreement to protect your personal information. By signing below, you hereby consent to AES Indiana disclosing your personal information including your Social Security Number to AES Indiana's third-party administrator for the purposes of administering this program.

The following certifications are required

Under penalties of perjury, I certify that:

- 1. The number shown on this form is the correct Federal Taxpayer Identification Number.
- 2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding.

The signatory agrees that the stated energy-efficient measure(s) was (were) completed or installed at the location listed in Section 1 as part of the AES Indiana Business Rebates & Incentives Program. I have read and agree to the Terms and Conditions within this application. To the best of my knowledge, the statements made on this application are correct, and I have submitted the appropriate supporting documentation to receive a rebate payment.

I certify that this premise is served by AES Indiana, that the information provided herein is accurate and complete, and that I have purchased and installed the high-efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed rebate payment from AES Indiana is subject to change based on verification and AES Indiana's approval. I agree to AES Indiana's verification of both the sales transaction and equipment installation, which may include a site inspection from an AES Indiana representative or an AES Indiana authorized agent. I understand that I am not allowed to receive more than one rebate/incentive from AES Indiana on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless, and release AES Indiana and its affiliates from any and all actions or claims in regard to the installation, operation, and disposal of equipment (and related materials) covered herein including liability from incidental or consequential damages. AES Indiana does not endorse any particular manufacturer, product, or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Rebates are subject to change and may be discontinued at the sole discretion of AES Indiana. Rebate funds are limited and available on a first-come, first-served basis. Eligible customers may receive a rebate for each eligible piece of equipment installed. Equipment must be installed and in operation to be eligible for rebate.

I have read and hereby agree to the AES Indiana Business Rebates & Incentives Program Requirements, Terms and Conditions, and Certification(s) as stated in this application form.

Customer Signature	Print Name	Date
Payee Signature (if Payee is Trade Ally or Third-Party Rebate Administrator)	Print Name	Date

